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The mixed serotonin receptor agonist psilocybin reduces threat-induced modulation of amygdala connectivity 2

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ABSTRACT

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1. Introduction 40

Serotonin (5-hydroxytryptamine, 5-HT) is an important neuro-41 transmitter within neural networks related to emotion processing. 42We have recently shown that 5-HT2A receptor activation by psilocybin 4344(4-phosphoryloxy-N,N-dimethyltryptamine) attenuates amygdala activation in response to threat-related visual stimuli in healthy volunteers 45 and that the reduction of amygdala blood oxygen level-dependent 46 47 (BOLD) signal is related to psilocybin's mood-enhancing effect (Kraehenmann et al., 2014). Here, we addressed the hypothesis that 48 connectivity changes between the amygdala (AMG) and visual and 4950prefrontal cortical (PFC) areas contribute to the observed effects of psilocybin on threat processing previously observed (Kraehenmann 5152et al., 2014). This hypothesis is based on evidence showing that the

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processing of threat-related visual stimuli may be modulated via 53 feedback connections from the amygdala to the visual cortex (Furl 54 et al., 2013). Such top-down input from the amygdala to the visual 55 cortex may be an important mechanism at the interface between 56 emotion processing and visual perception - given that the amygdala 57 has been implicated in tuning visual processing to allocate resources 58 towards sensory processing of - and coordinating responses to - emo- 59 tionally salient stimuli (Morris et al., 1998). Furthermore, processing of 60 threat signals may be modulated via inhibitory feedback connections 61 from the PFC to the AMG (Hahn et al., 2011; Aznar and Klein, 2013). 62 Using DCM for fMRI, Sladky et al. (2015) recently analyzed the effects 63 of the selective serotonin reuptake inhibitor (SSRI) (S)-citalopram on 64 amygdala-PFC effective connectivity in healthy volunteers. They found 65 that the PFC exhibited a down-regulatory effect on amygdala activation, 66 and that this effect was significantly increased by the antidepressant 67 (S)-citalopram. Importantly, the inhibitory feedback from the PFC to the 68 AMG has been found to be correlated with 5-HT2A receptor stimulation 69 (Fisher et al., 2009). Therefore, it is conceivable that the psilocybin- 70 induced attenuation of amygdala activation (Kraehenmann et al., 2014) 71

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Stimulation of serotonergic neurotransmission by psilocybin has been shown to shift emotional biases away from 22

negative towards positive stimuli. We have recently shown that reduced amygdala activity during threat pro- 23

cessing might underlie psilocybin's effect on emotional processing. However, it is still not known whether psilo-24

cybin modulates bottom-up or top-down connectivity within the visual-limbic-prefrontal network underlying 25

threat processing. We therefore analyzed our previous fMRI data using dynamic causal modeling and used Bayes-26 ian model selection to infer how psilocybin modulated effective connectivity within the visual-limbic-prefrontal 27

network during threat processing. First, both placebo and psilocybin data were best explained by a model in 28

which threat affect modulated bidirectional connections between the primary visual cortex, amygdala, and later-29

al prefrontal cortex. Second, psilocybin decreased the threat-induced modulation of top-down connectivity from 30

the amygdala to primary visual cortex, speaking to a neural mechanism that might underlie putative shifts to- 31

wards positive affect states after psilocybin administration. These findings may have important implications 32

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might be caused by increased inhibitory connectivity from the PFC to the
AMG. Finally, given the abundance of feed-forward projections from
visual input regions (e.g. primary visual cortex, V1) to the AMG (Pessoa
and Adolphs, 2010) and from the AMG to the PFC (Volman et al., 2013),
bottom-up connectivity changes may also contribute to psilocybin's
effects on threat processing.

To test these hypotheses, we analyzed the functional magnetic 78resonance imaging (fMRI) data of our previous study (Kraehenmann 79 80 et al., 2014) using dynamic causal modeling (DCM) (Friston et al., 2003) and Bayesian model selection (BMS) (Stephan et al., 2009). 81 82 DCM is a general framework for inferring hidden mechanisms at the neuronal level from measurements of brain activity such as fMRI. Recent 83 84 studies have demonstrated its sensitivity to detect pharmacological ma-85 nipulations in fMRI data (Grefkes et al., 2010; Schmidt et al., 2013b); in particular, after serotonergic stimulation (Volman et al., 2013). BMS is 86 an essential aspect of DCM studies, as it can be used to test competing 87 hypotheses (different DCMs) about the neural mechanisms generating 88 data. We applied DCM and BMS to address the following questions: 89 90 First, which is the most likely mechanism underlying threat processing, (1) threat-induced modulation of bottom-up connectivity, (2) threat-91 induced modulation of top-down connections, or (3) modulation of 92 both bottom-up and top-down connections by threat stimuli. Secondly, 93 94 which of these mechanisms - changes in bottom-up or top-down 95connectivity - contributed to the psilocybin-induced reduction of 96 AMG (Kraehenmann et al., 2014) and V1 activation (Schmidt et al., 2013a) in response to threat-related visual stimuli. 97

98 2. Methods

99 2.1. Subjects

100 In total, 25 healthy, right-handed subjects (16 males, mean age 24.2 ± 3.42 years) with normal or corrected-to-normal vision were re-101 102cruited through advertisements placed in local universities. Subjects 103 were screened for DSM-IV mental and personality disorders using the Mini-International Neuropsychiatric Interview (Sheehan et al., 1998) 104 and the Structured Clinical Interview II (First et al., 1997). Exclusion 105 criteria were as follows: pregnancy, left-handedness, poor knowledge 106 of the German language, personal or first-degree relatives with history 107 of psychiatric disorder, history of alcohol or illicit drug dependence, 108 current alcohol abuse or illicit drug use, current use of a medication 109 that affects cerebral metabolism or blood flow, cardiovascular disease, 110 history of head injury or neurological disorder, magnetic resonance im-111 112 aging exclusion criteria (including claustrophobia), and previous signif-113 icant adverse reactions to a hallucinogenic drug. Subjects were healthy according to medical history, physical examination, routine blood anal-114 ysis, electrocardiography, and urine tests for drug abuse and pregnancy. 115 The study was approved by the Cantonal Ethics Committee of Zurich 116117 (KEK). Written informed consent was obtained from all subjects and the study was performed in accordance with the Declaration of Helsinki. 118

119 2.2. Experimental design

As previously reported (Kraehenmann et al., 2014), the study design 120was randomized, double-blind, placebo-controlled, cross-over. Subjects 121122received either placebo or 0.16 mg/kg oral psilocybin in two separate imaging sessions at least 14 days apart. The use of psilocybin was autho-123rized by the Federal Office of Public Health, Federal Department of 124Home Affairs, Bern, Switzerland. Psilocybin and lactose placebo were 125administered in gelatin capsules of identical number and appearance. 126A 0.16-mg/kg dose of psilocybin was selected because it reliably induces 127changes in mood and consciousness, but minimally disrupts behavioral 128task performance and reality testing (Studerus et al., 2011). Mood state 129was assessed using the using the Positive and Negative Affect Schedule 130131 (PANAS) (Watson et al., 1988) and the state portion of the State-Trait Anxiety Inventory (STAI) (Spielberger and Gorsuch, 1983) before and 132 210 min after each drug treatment. The scanning experiment was conducted between 70 and 90 min after drug administration to coincide with the plateau in the subjective effects of psilocybin (Hasler et al., 135 2004). Subjects were released about 360 min after drug administration, 136 after all acute drug effects had completely subsided. 137

2.3. fMRI paradigm: amygdala reactivity task

Inside the scanner, subjects performed an amygdala reactivity task 139 comprising alternating blocks of emotional (threat and neutral) picture 140 discrimination tasks. The picture discrimination task was interspersed 141 with shape discrimination tasks, which served as baseline tasks and 142 allowed amygdala responses to return to baseline. 143

Stimulus material for the amygdala reactivity task was obtained 144 from the International Affective Picture System (IAPS), a standardized 145 and broadly validated collection of emotionally evocative pictures 146 (Lang et al., 2005). Stimulus sets of 48 different pictures were arranged 147 in picture-triplets on a gray background. The stimulus triplets com- 148 prised the target picture in the upper center position, and two pictures 149 as potential matching targets on the left and right sides at the bottom of 150 the slide. Twenty-four pictures were aversive, threat-related pictures such 152 as attacking animals, aimed weapons, car accidents, and mutilations, 153 and the neutral pictures depicted activities of daily living, portraits of 154 humans and animals, and everyday objects. 155

During the emotional picture discrimination task, subjects were re- 156 quired to select one of the two IAPS pictures at the bottom of the stim- 157 ulus triplet that matched the target picture at the top of the triplet. 158 Selection was indicated by pressing one of two buttons on a magnetic 159 resonance (MR)-compatible response device with the dominant hand. 160 A shape discrimination task was performed as a sensorimotor control 161 and baseline task. This required matching of geometric shapes (circles, 162 ovals, and rectangles) analogous to the picture discrimination task and 163 was implemented to control for activation due to non-emotional cogni- 164 tive and visual processing. Both tasks were shown as alternating 24-s 165 blocks without intermittent pauses. Each block was preceded by a 2-s 166 instruction ("Match Pictures" or "Match Forms") and consisted of six 167 target images that were presented sequentially for a period of 4 s in a 168 randomized order. The experimental design comprised four repetitions 169 of the sequence threat \rightarrow shapes \rightarrow neutral \rightarrow shapes, cumulating to a 170 total duration of 420 s for the complete run. Individual trial durations 171 were not determined by the subjects' responses, and no feedback was 172 provided regarding correct or incorrect responses. 173

2.4. fMRI image acquisition and data analysis

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Scanning was performed on a 3 T scanner (Philips Achieva, Best, The 175 Netherlands) using an echo planar sequence with 2.5 s repetition time, 176 30 ms echo time, a matrix size of 80×80 and 40 slices without inter-177 slice gap, providing a resolution of $3 \times 3 \times 3$ mm³ and a field of view 178 of 240×240 mm³.

Data analysis was performed with SPM12b (http://www.fil.ion.ucl. 180 ac.uk). All volumes were realigned to the mean volume, co-registered 181 to the structural image, normalized to the Montreal Neurological Institute space using unified segmentation (Ashburner and Friston, 2005) 183 including re-sampling to $3 \times 3 \times 3$ mm voxels, and spatially smoothed 184 with an 8-mm full-width at half-maximum Gaussian kernel. First-level 185 analysis was conducted using a general linear model applied to the 186 time series, convolved with a canonical hemodynamic response func-187 tion (Friston et al., 1994). Serial correlations and low-frequency signal 188 drift were removed using an autoregressive model and a 128-s 189 high-pass filter, respectively. Single-subject GLM analysis for the two 190 sessions (placebo and psilocybin) comprised regressors for threat, neu-191 tral pictures, and shapes. These conditions were modeled as box-car 192

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regressors representing the onset of each block type. Subject-specific condition effects for threat minus shapes were computed using t-contrasts, producing a contrast image for each subject that was used as a summary statistic for second-level (between subject) analyses.

197 2.5. Dynamic causal modeling (DCM)

The current DCM analyses (version 12 with SPM12b) are based on 198199the GLM analyses of the fMRI data described above (Kraehenmann et al., 2014). In DCM for fMRI, the dynamics of the neural states under-200201 lying regional BOLD responses are modeled by a bilinear differential equation that describes how the neural states change as a function of 202endogenous interregional connections, modulatory effects on these 203204connections, and driving inputs (Friston et al., 2003). The endogenous connections represent constant coupling strengths, whereas the 205 modulatory effects represent context-specific and additive changes in 206 coupling (task-induced alterations in connectivity). The modeled 207 neuronal dynamic is then mapped to the measured BOLD signal using 208a hemodynamic forward model (Stephan et al., 2007). We explicitly 209examined how the coupling strengths between V1, AMG, and PFC are 210 changed by threat during the AMG reactivity task (modulatory effect). 211

212 2.5.1. Regions of interest and time series extraction

We selected three regions of interest (ROIs) within a right-213 hemispheric network implicated in visual threat processing, based on: 214 (1) previously published conventional SPM analyses of these data 215(Fig. 1) (Kraehenmann et al., 2014), (2) previous anatomical and struc-216217tural connectivity studies (Freese and Amaral, 2005), and (3) previous DCM studies of threat processing using visual stimuli (Volman et al., 2182013). In DCM for fMRI, a neural network is analyzed in terms of direct-219ed connectivity changes among selected regions of interest. Regions of 220221interest are selected based on both a priori knowledge and hypotheses, 222and on significant task-induced activations. We chose a righthemispheric (subgraph) analysis based on our previous GLM analysis 223of psilocybin effects on threat processing (see Table 1, Fig. 3A and B) 02 (Kraehenmann et al., 2014). The rationale for this choice was to ask 225 whether the observed psilocybin-induced decrease of right amygdala 226 227 activation in response to threat was mediated by top-down connectivity changes from the right prefrontal cortex or by bottom-up connectivity 228changes from the right visual cortex. In addition, we limited our DCM 229analyses to a right-hemispheric network or subgraph in view of statisti-230231 cal efficiency: it is common practice to test only a small number of regions of interest with DCM. Future DCM studies of psilocybin effects 232on threat processing could include the contralateral homologues of 233234the regions investigated here, although our previous GLM analysis did not motivate a DCM analysis of the left-hemispheric network. 235

The ROIs included: rV1 (x = 12, y = -82, z = -7), rAMG (x = 24, y = -1, z = -13), and the right inferior frontal gyrus within the lateral PFC (rLPFC) (x = 54, y = 32, z = 20). The coordinates for the rV1, rAMG and rLPFC were based on the contrast of threat pictures minus shapes. Regional time series from each subject and session were extracted from 240 (10 mm) spherical volumes of interest centered on the suprathreshold 241 voxel nearest the group maxima. Time series were summarized with 242 the first eigenvariate of voxels above a subject-specific F threshold of 243 p < 0.01 (uncorrected) within the anatomical areas, as defined by the 244 Pick Atlas toolbox. During time series extraction it may happen that a 245 subject does not show activation at the group maximum and that the 246 nearest suprathreshold voxel lies outside the anatomical regions. By 247 additionally using an anatomical mask, we ensured that time series 248 were extracted from within a certain distance of the group maxima 249 (10 mm), but were not extracted from a region outside the anatomical 250 structure (Dima et al., 2011). We could not extract an rLPFC time series 251 in two subjects due to lack of individual activations fulfilling both the 252 above functional and anatomical criteria. Although it is not necessary to 253 preclude subjects who did not show significant activations from the 254 DCM analysis, the purpose of DCM is to explain observed activations in 255 terms of functional coupling. We therefore restricted our analyses to sub- 256 jects who showed significant responses under the assumption that their 257 data would provide more efficient estimators of connectivity. 258

2.5.2. DCM model space

First, we specified a three-area base model with bidirectional endog-260 enous connections between V1 and AMG and between AMG and LPFC 261 (Fig. 2A). V1 was selected as the visual input region in our models. All 262 visual stimuli were used as inputs. These restrictions allowed us to 263 define a small model space. The basic model was then systematically 264 varied to provide alternative models of the modulatory effect (induced 265 by threat stimuli). The three model variants corresponded to the 266 three alternative hypotheses about modulatory effects (bottom-up, 267 top-down, or a combination of bottom-up and top-down) and allowed 268 us to distinguish between the three hypothesized mechanisms under 269 the two treatments (psilocybin, placebo) (Fig. 2B–D). 270

2.5.3. Model inference

Using random-effects BMS in DCM12, we computed expected prob- 272 abilities and exceedance probabilities at the group-level to determine 273 the most plausible of the three model variants for each drug (psilocybin, 274 placebo) separately (Penny et al., 2004). The expected probability of 275 each model is the probability that a specific model generated the data 276 of a randomly chosen subject, and the exceedance probability of each 277 model is the probability that this model is more likely than any other 278 of the models tested (Stephan et al., 2009). Bayesian model comparison 279 rests solely on the relative evidence for different models (as scored by 280 the variational free energy). This evidence comprises the accuracy 281 (i.e., percent variance explained) minus the complexity (i.e., degrees 282 of freedom used to explain the data). The evidence therefore reflects 283 the quality of a model in providing an accurate but parsimonious ac- 284 count of the data (and is preferred over conventional accuracy measures 285 that may reflect overfitting). Finally, we used random-effects Bayesian 286 model averaging (BMA) to compute (subject specific) connectivity 287



Fig. 1. Regional effects from the contrast of threat pictures minus shapes within right lateral prefrontal cortex (rLPFC; z = 20) and right amygdala (rAMG; y = -1) and from the contrast of all pictures (threat of non-threat) minus shapes within the right primary visual cortex (rV1; x = 12) across both drug conditions (placebo, psilocybin). SPM{t} overlaid on canonical brain slices (thresholded at p < 0.001 uncorrected for visualization).

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Table 1 Dynamic causal modeling parameter estimates. t1.2

Connection	Endogenous		Modulation		Direct input	
	Pla	Psi	Pla	Psi	Pla	Psi
V1	$+0.023 \pm 0.05$	-0.002 ± 0.01	_	-	$+0.011 \pm 0.12$	-0.003 ± 0.01
$V1 \rightarrow AMG$	$+0.036 \pm 0.08$	$+0.018\pm0.05$	$+0.027 \pm 0.37$	$+0.024 \pm 0.09$	_	-
$AMG \rightarrow V1$	-0.028 ± 0.09	$+0.031 \pm 0.11$	$+0.526 \pm 1.05$	$+0.030 \pm 0.14^{*}$	-	-
AMG	-0.007 ± 0.02	-0.002 ± 0.01	_	_	_	-
$AMG \rightarrow LPFC$	$+0.005\pm0.08$	-0.005 ± 0.06	$+0.103 \pm 0.22$	$+0.023 \pm 0.11$	_	-
$LPFC \rightarrow AMG$	-0.002 ± 0.05	$+0.008 \pm 0.00$	-0.394 ± 1.12	-0.157 ± 0.76	_	-
LPFC	-0.014 + 0.04	-0.001 + 0.00	_	-	_	-

estimates (weighted by their posterior model probability) across all 288 three models separately for psilocybin and placebo. This conservative 289 analysis allowed the drug effect to be expressed in all connections and 290 their threat related modulations, whereby we were able to establish 201 significant effects in relation to intersubject variability using classical 292 statistics at the between subject level. 293

psilocybin-induced changes in behavioral measures (reaction time, 310 accuracy) and mood scores (PANAS positive affect, PANAS negative 311 affect, STAI state anxiety). 312

3. Results

3.1. Model inference with Bayesian model selection

2.5.4. Parameter inference 294

To evaluate the effect of psilocybin on endogenous connections and 295 their modulation by threat stimuli, BMA values were entered into two 296 297separate 2-way repeated measures ANOVA with factors drug (psilocybin, placebo) and connection type (endogenous parameters: V1, 298299 $V1 \rightarrow AMG, AMG \rightarrow V1, AMG, AMG \rightarrow LPFC, LPFC \rightarrow AMG, LPFC; modu$ latory parameters: $V1 \rightarrow AMG$, $AMG \rightarrow V1$, $AMG \rightarrow LPFC$, $LPFC \rightarrow AMG$). 300 Where the ANOVA null hypothesis of equal means was rejected, we 301 used the post-hoc test (Duncan's multiple range tests). A paired t test 302 was further applied to compare direct inputs into V1 across both treat-303 304 ments. A p value of less than 0.05 was assumed as statistically 305 significant.

2.5.5. Correlation with behavioral and mood measures 306

To investigate correlations between psilocybin-induced changes of 307 effective connectivity and behavior or mood, the psilocybin-induced 308 309 connectivity changes were correlated using Pearson correlations with





Fig. 2. Model specification. A, Basic structure of the three-area model: visual stimulus presentation drives V1 activity, which is bidirectionally connected to AMG, which in turn is bidirectionally connected to the LPFC. B, Bottom-up model: the modulatory effect of threat is only mediated via bottom-up connections from V1 to AMG to LPFC. C, Top-down model: the modulatory effect of threat is only mediated via top-down connections from LPFC to AMG to V1. D, Full model: the modulatory effect of threat is mediated via both bottom-up and top-down connections between V1 and AMG, and between AMG and LPFC.

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Under both psilocybin and placebo, the full model outperformed all 315

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other models with an exceedance probability of 97% (placebo) and 62% 316 (psilocybin), respectively (Fig. 3). This optimal model comprised bidi- 317 rectional endogenous connections between V1 and AMG, and between 318 AMG and LPFC, with threat modulating both forward and backward 319 connections. 320

To compare connectivity across drug treatments, the subject-specific 322 parameter estimates were averaged over the three models for each 323 treatment using BMA. The endogenous parameters, their threat induced 324 modulations, and direct inputs from the BMA are shown in Table 1. Cou- 325 pling or connectivity in dynamic models is measured in terms of Hz, 326 where a strong baseline or endogenous connection would typically be 327 between 0.1 and 0.5 Hz. This means that one can regard the effective 328 connectivity as a rate-constant. In other words, a strong connection 329

threat

threat

B: bottom-up model

AMG

AMG

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Fig. 3. Results of Bayesian model selection. Bar charts show the expected model probabilities (A, B) and exceedance probabilities (C, D) of the bottom-up model (1), the top-down model (2), and the full model (3) for the placebo (left) and psilocybin (right) treatment. Notably, the full model with threat-induced modulation of bidirectional connections is the winning model for both the placebo and psilocybin treatment.

causes a large rate of increase in the target region, with respect to
activity in the source region. The inverse of the connection strength
can therefore be interpreted in terms of a time constant (i.e., how long
it would take for a source to increase activity in a target).

There was no main effect of drug ($F_{1,22} = 3.10$, p = 0.09, $\eta_p^2 = 0.12$), 334 but a significant main effect of connection type ($F_{3,66} = 3.94$, p = 0.01, 335 $\eta_p^2 = 0.15$), and a significant drug by connection type interaction 336 $(F_{3,66} = 2.84, p = 0.04, \eta_p^2 = 0.11)$ on modulatory coupling parameters. 337 Post-hoc tests on the drug by connection type interaction showed that 338 the threat-induced modulation of AMY \rightarrow V1 connectivity was signifi-339 cantly reduced after psilocybin compared to placebo administration 340 (p = 0.01; Duncan's multiple range test corrected) (Table 1). There 341 was no significant effect of psilocybin on endogenous or input parame-342 ters (all p > 0.05). 343

344Parameter estimates were obtained from Bayesian Model Averaging345for placebo (Pla) and psilocybin (Psi), mean \pm standard deviation.346Statistically significant differences between placebo and psilocybin347treatments (p < 0.05 Duncan corrected for multiple comparison) are</td>348printed in bold and marked by an asterisk; V1 = primary visual cortex;349AMG = amygdala; LPFC = lateral prefrontal cortex.

3.3. Correlation with behavioral and mood measures

We assessed correlations between (psilocybin–placebo) modulatory 351 coupling changes for the AMG \rightarrow V1 connection from BMA and (psilocy-352 bin–placebo) changes of behavioral measures (reaction time, accuracy) 353 and of mood scores (PANAS positive affect, PANAS negative affect, STAI 354 state anxiety). We found no significant correlations (all p > 0.05). 355

4. Discussion

In this study, we analyzed the fMRI data of our previous psilocybin 357 study (Kraehenmann et al., 2014) using DCM, an established framework 358 enabling tests of directed (effective) connectivity. We were interested 359 whether psilocybin modulated effective connectivity within a network 360 implicated in threat processing during an amygdala reactivity task. In 361 particular, our aim was to differentiate between psilocybin-effects on 362 bottom-up, top-down, and bidirectional connectivity during threatprocessing within a visual-limbic-prefrontal network. There were two 364 main findings from our study: Firstly, both placebo and psilocybin 365 data were best explained by a model in which threat affect modulated 366

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bidirectional connections between V1, AMG, and LPFC. Secondly,
psilocybin – compared to placebo – substantially reduced the modulatory effect of threat on the top-down connection from the AMG to V1. This
implies that psilocybin attenuates amygdala-dependent top-down
tuning of visual regions during threat processing.

Our BMS finding that the full model, which is characterized by bidi-372 rectional modulatory effects of threat on visual-limbic-prefrontal con-373 nectivity, outperformed both the bottom-up and the top-down model, 374 375 is in line with previous DCM studies (Herrington et al., 2011; Goulden 376 et al., 2012). In these studies, BMS consistently favored models, which 377 implement modulatory effects on both bottom-up and top-down con-378 nections during negative emotion processing. The winning model in our study contained reciprocal connections between V1 and AMG 379 380 (V1 \leftrightarrow AMG) and between AMG and LPFC (AMG \leftrightarrow LPFC). Both V1 ↔ AMG and AMG ↔ LPFC reciprocal connections are critically in-381 volved in negative-emotion processing (Herrington et al., 2011; 382 Goulden et al., 2012). In fact, it has been shown that visual threat 383 perception may be enhanced through a re-entry mechanism of feed-384 forward connections from V1 to AMG and feedback connections from 385 the AMG to V1 (Herrington et al., 2011). Furthermore, visual threat 386 perception may be increased through feed-forward connections from 387 the AMG to LPFC (Lu et al., 2012) and attenuated through inhibitory 388 389 feedback connections from the LPFC to AMG (Volman et al., 2013). Although BMS did not directly compare model fits from different datasets 390 (e.g. placebo, psilocybin), our model selection results indicate a consis-391 tent mode of visual threat processing during placebo and psilocybin 392 treatments; namely, via modulation of both bottom-up and top-down 393 394 connectivity across the visual-limbic-prefrontal hierarchy.

Our main finding was that psilocybin (compared to placebo) 395 reduced the modulatory effect of visual threat on the top-down connec-396 397 tion from the AMG to V1. In both humans and animals, visual threat 398 poses a strong salience signal, which needs to be processed efficiently 399 and therefore binds attentional resources (Pessoa and Adolphs, 2010). 400The "tuning" of visual regions via feedback projections from the AMG during threat processing is an important mechanism underlying visual 401 threat processing and may enhance perception of visual threat signals 402 403 (Morris et al., 1998). In addition, the AMG has been closely linked to 404 salience processing and may, via top-down predictive signals, guide bottom-up information processing (Vuilleumier, 2015). Therefore, the 405amygdala may actually determine the affective meaning of visual 406 percepts by its effects on sensory pathways – an effect which mainly 407 408 occurs subconsciously and which may be greatly amplified in psychopathological conditions, such as anxiety disorders or depression. In 409 this context, increased AMG reactivity may lead to an increased 410 attentional focus on negatively valenced environmental or social stimuli 411 and thus effectively blocks out the processing of positive information 412 413 (Disner et al., 2011). This is especially relevant for hallucinogenic drugs such as psilocybin, because there has been a close and psycho-414 therapeutically interesting relationship between visual perception and 415affective processes during hallucinogen-induced states (Leuner, 1981). 416 The psilocybin-induced attenuation of top-down threat signaling from 417 418 the amygdala to visual cortex may therefore lead to decreased threat 419 sensitivity in the visual cortex. This mechanism may crucially underlie the previously observed decrease of behavioral and electrophysiological 420responses in the visual cortex to threat stimuli during psilocybin 421422 administration (Vollenweider and Kometer, 2010; Schmidt et al., 423 2013a) and may explain the psilocybin-induced shifts away from negative towards positive valence during emotion processing 424 (Kometer et al., 2012). In line with the notion that attenuation of the 425top-down connection from the AMG to visual cortex may reduce threat 426 processing, a recent study showed that habituation to visual threat 427 stimuli may parallel attenuation of top-down connectivity from the 428AMG to visual cortex (Herrington et al., 2011). In addition, it has been 429found that hyper-connectivity between the AMG and visual cortex 430may underlie increased threat processing and anxiety (Frick et al., 431 432 2013).

Given the relevance of LPFC in regulating AMG activity during threat 433 processing, and given previous studies showing that serotonergic stim- 434 ulation may increase inhibitory top-down connectivity from LPFC to 435 AMG (Pessoa and Adolphs, 2010; Volman et al., 2013), we hypothesized 436 that psilocybin-induced reduction in AMY activity might be due to an 437 increased LPFC \rightarrow AMG top-down connectivity during threat processing. 438 However, psilocybin did not appear to increase top-down connectivity 439 from LPFC to AMG in the current analysis. Two reasons might account 440 for this. First, the source of the psilocybin-induced reduction of AMG 441 activity, as observed in our previous GLM analysis (Kraehenmann 442 et al., 2014), might not reflect an increased top-down effect from 443 LPFC, but rather a suppression of recurrent interactions with visual 444 areas mediated by a reduced top-down connectivity with the visual 445 cortex. The synaptic basis of this reduced top-down modulation might 446 reflect a direct effect of psilocybin in the amygdala: amygdala neurons 447 abundantly express 5-HT2A receptors, and DOI and other 5-HT2A ago- 448 nists produce direct effects in the amygdala (Rainnie, 1999). In addition, 449 a directly decreased AMG reactivity would result in a reduced load on 450 the LPFC to regulate AMG activation. This view is supported by a recent 451 DCM study showing that increased AMG-related load on the PFC yields 452 subsequent responses in the PFC to regulate the AMG (Volman et al., 453 2013). Second, the AMG might be regulated by prefrontal cortical re- 454 gions other than the LPFC, such as the medial PFC (MPFC), the anterior 455 cingulate cortex (ACC), or the orbitofrontal cortex (OFC), which have 456 also been related to the 'aversive amplification' circuit (Robinson et al., 457 2013). For example, Sladky et al. (2015) recently analyzed the effects 458 of the selective serotonin reuptake inhibitor (SSRI) (S)-citalopram on 459 amygdala-OFC effective connectivity in healthy volunteers. They 460 found that the OFC exhibited a down-regulatory effect on amygdala 461 activation, and that this effect was significantly increased by the antide- 462 pressant (S)-citalopram. Although Sladky et al. used a similar threat- 463 inducing amygdala reactivity task (Hariri et al., 2002) and likewise 464 tested the effects in healthy volunteers, their study procedures differ 465 substantially from our study, both in terms of task design (e.g. face stim- 466 uli instead of pictures, scrambled control stimuli, longer baseline condi- 467 tions) and in terms of drug administration (e.g. chronic and repeated 468 instead of acute and single treatment). Therefore, it is not easy to disam- 469 biguate task- from drug-specific effects in terms of PFC involvement and 470 our DCM might have missed top-down effects from PFC on the AMG. 471 However, given the cognitive task requirements in our task - where 472 subjects were not explicitly required to evaluate or regulate their emo- 473 tional responses to the threat stimuli - and given that the GLM analyses 474 (Kraehenmann et al., 2014) did not show significant BOLD responses in 475 the MPFC, ACC, or OFC, one might argue that top-down effects from 476 other prefrontal regions are unlikely. Overall, both the hallucinogen 477 psilocybin and the non-hallucinogen (S)-citalopram may normalize 478 amygdala hyper-reactivity to threat-related stimuli; leading to their 479 antidepressant and anxiolytic efficacy, but psilocybin appears to 480 regulate emotion processing and mood by acting on network 481 interactions which are different from classical antidepressants such as 482 (S)-citalopram, such as the affective regulation of visual information 483 processing shown here. 484

4.1. Limitations and future directions

There are some limitations to be considered in the present study. We 486 used a fairly simplistic neuronal network underlying threat related 487 effective connectivity. There are also other brain regions involved in 488 threat processing, such as the ACC, the OFC, or the fusiform gyrus 489 (Robinson et al., 2013), but that we did not include in our present 490 model for reasons of parsimony and based on our a priori hypotheses. 491 Furthermore, to maximize statistical efficiency, we only considered 492 right-hemispheric networks in our DCM analyses. Therefore, top-493 down connectivity from the left LPFC to the right AMG might have 494 been missed. Given the importance of the left LPFC in regulating the 495 right AMG during emotion processing and in serotonergic modulation 496

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(Outhred et al., 2013), we cannot exclude this possibility. Therefore, further effective connectivity studies using tasks that differentially recruit
left and right prefrontal cortical regions during threat processing, are
needed.

501 4.2. Conclusion

502This effective connectivity study shows that a decrease of top-down connectivity from the AMG to the visual cortex underlies the psilocybin 503effect on visual threat processing. This result suggests that decreased 504threat sensitivity in the visual cortex during emotion processing may 505explain the potential of psilocybin to acutely shift emotional biases 506away from negative towards positive valence: the capacity of the visual 507cortex to process multiple stimuli is limited and hence top-down sup-508pression of negative stimuli enhances the processing of positive stimuli 509(Kastner et al., 1998). This may have important therapeutic implications 510for mood and anxiety disorders, where over-loading with negative 511stimuli and persistence of negative cognitive biases is a central feature 512(Disner et al., 2011). In post-traumatic stress disorder, for example, 513 psilocybin might help inhibit fear-responses during exposure-based 514 psychotherapy, which might facilitate therapeutic progress. 515

516 Disclosure and conflict of interest

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